

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re. Appln: Thomas W. Tripp
Serial No.: Not yet assigned
Filed: October 6, 1999
For: SOFTWARE SYSTEM FOR REAL MONETARY INSTRUMENTS
Attorney:
Docket No. 90030-9
Additional Fees: Charge to Deposit Account 50-0475

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**SMALL ENTITY VERIFIED STATEMENT AND DECLARATION
BY SMALL BUSINESS CONCERN**

A small entity status is hereby established in the application for U.S. Letters Patent herein identified for the purpose of paying fees, as follows:

The small business concern identified below makes the following verified statement on behalf of said small business concern: (1) avers that exclusive rights to the invention have been conveyed to and remain with said small business concern; (2) avers that said concern qualifies as a small business concern as defined in 37 C.F.R. § 1.9(d), and especially that the number of employees (as defined in said § 1.9(d)) of said concern including those of its affiliates (as defined in said § 1.9(d)), if any, does not exceed 500 persons; and (3) also avers that said concern has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any other person or concern; (4) and is signed by the owner or an official of said small business concern empowered to act on behalf of the concern.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/06/99
JCS 35 U.S. PTO

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09/412020
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Attorney: Gerald E. Helget
Attorney Docket No.: 90030-9
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Sir:

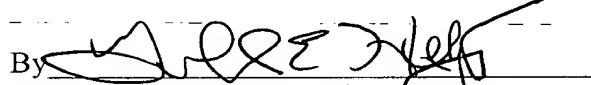
TRANSMITTAL COVER LETTER

Enclosed for filing, please find the following:

1. Utility Patent Application Transmittal;
2. Fee Transmittal for FY 1999;
3. Specification, Claims, and Abstract;
4. Formal Drawings;
5. Declaration and Power of Attorney for Original Application;
6. Small Entity Declaration;
7. Check in the amount of \$536.00;
8. Recordation Form Cover Sheet, Assignment, and check in the amount of \$40.00; and
9. Postcard receipts.

Respectfully submitted,

Dated: 5 Oct 99

By 
Gerald E. Helget (Reg. No. 30,948)
MACKALL, CROUNSE & MOORE, PLC
1400 AT&T Tower, 901 Marquette Avenue
Minneapolis, MN 55402-2859
Telephone: (612) 305-1416
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Jc594 U.S. PTO
09/06/99Please type a plus sign (+) inside this box → +

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 90030-9

First Inventor or Application Identifier Thomas W. Tripp

Title SOFTWARE SYSTEM FOR REAL MONETARY INSTRUMENTS

Express Mail Label No. 

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification [Total Pages 1891]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. 113) [Total Sheets]

4. Oath or Declaration [Total Pages]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 C.F.R. § 1.63(d))
 (for continuation/divisional with Box 16 completed)

- i. DELETION OF INVENTOR(S)
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Copy
- b. Paper Copy (identical to computer copy)
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))

8. 37 C.F.R. § 3.73(b) Statement Power of
(when there is an assignee) Attorney

9. English Translation Document (if applicable)

10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations

11. Preliminary Amendment

12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

- * Small Entity Statement(s) Statement filed in prior application,
(PTO/SB/09-12)
- 13. Status still proper and desired
- 14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 15. Other: Transmittal Cover Letter
.....
.....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No. 60/103,565

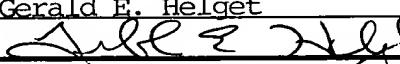
Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)				<input checked="" type="checkbox"/> Correspondence address below
Name	Gerald E. Helget Mackall, Crounse & Moore, PLC				
Address	1400 AT&T Tower 901 Marquette Avenue				
City	Minneapolis	State	MN	Zip Code	55402
Country	United States	Telephone	612-305-1416	Fax	612-305-1414

Name (Print/Type)	Gerald E. Helget	Registration No. (Attorney/Agent)	30,948
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Signature		Date	10-06-1999
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

for FY 1999

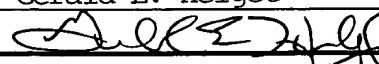
Patent fees are subject to annual revision.

Small Entity payments **must** be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 576.00)

Complete if Known	
Application Number	
Filing Date	October 6, 1999
First Named Inventor	Thomas W. Tripp
Examiner Name	
Group / Art Unit	
Attorney Docket No.	90030-9

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES					
Deposit Account Number	50-0475		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
Deposit Account Name	Mackall, Crounse & Moore		105	130	205 65 Surcharge - late filing fee or oath		
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		127	50	227 25 Surcharge - late provisional filing fee or cover sheet.			
2. <input checked="" type="checkbox"/> Payment Enclosed:		139	130	139 130 Non-English specification			
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money <input type="checkbox"/> Other		147	2,520	147 2,520 For filing a request for reexamination			
FEE CALCULATION							
1. BASIC FILING FEE							
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description					
101	760	201	380	Utility filing fee			Fee Paid
106	310	206	155	Design filing fee			
107	480	207	240	Plant filing fee			
108	760	208	380	Reissue filing fee			
114	150	214	75	Provisional filing fee			
SUBTOTAL (1) (\$ 380.00)							
2. EXTRA CLAIM FEES							
Total Claims	10	Extra Claims	20**	=		X	Fee Paid
Independent Claims	7	- 3**	= 4	X	39	=	156
Multiple Dependent							
**or number previously paid, if greater; For Reissues, see below							
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description					
103	18	203	9	Claims in excess of 20			
102	78	202	39	Independent claims in excess of 3			
104	260-	204	130	Multiple dependent claim, if not paid			
109	78	209	39	** Reissue independent claims over original patent			
110	18	210	9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$ 156.00)				Reduced by Basic Filing Fee Paid			
				SUBTOTAL (3) (\$ 40.00)			

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Gerald E. Helget	Registration No. (Attorney/Agent)	30,948	Telephone 612-305-1416
Signature				Date 10-06-1999

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